



FORM PTO-1083

Receipt

PATENT  
89252.0004  
(Former Docket No. SMI-P003)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

BINNEG Y. LAO, et al.

Serial No.: 09/990,144

Filed: November 21, 2001

For: CONNECTION PACKAGE FOR HIGH-SPEED  
INTEGRATED CIRCUIT

Art Unit: 2817

Examiner: Stephen E. Jones

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:  
Commissioner for Patents  
Washington D.C. 20231, on  
February 18, 2003

Date of Deposit

Kimberly Yee

Name

Signature *Kimberly Yee* 02/18/03

Date

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Transmitted herewith is a Response to Restriction Requirement in the above-identified application.

- ☒ Small entity status has been claimed. See 37 CFR § 1.27.  
☒ A Notice of Change of Attorneys' Address and Associate Power of Attorney is enclosed.  
☒ Request for Corrected Filing Receipt.  
☒ No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1) CLAIMS REMAINING AFTER AMENDMENT		(Col. 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Col. 3) PRESENT EXTRA*	LG/SM \$ ENTITY FEE	ADD'L FEE DUE
TOTAL CLAIMS FEE	83	-	83 **	0	LG=\$18 SM=\$9	\$ 0
INDEPENDENT CLAIMS FEE	13	-	13 ***	0	LG=\$84 SM=\$42	\$ 0
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIMS					LARGE ENTITY FEE = \$280 SMALL ENTITY FEE = \$140	\$
TOTAL						\$ 0

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box on Col. 1 of a prior amendment or the number of claims originally filed.

- ☐ A check in the amount of \$ 0 to cover the additional claims fee is enclosed. A copy of this sheet is enclosed.
- ☐ A check in the amount of \$ 0 to cover the extension fee is enclosed. A copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge any deficiencies of fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. A copy of this sheet is enclosed.
- ☒ Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra claims
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17

Respectfully submitted,  
HOGAN & HARTSON L.L.P.

By:

*Soyeon (Karen) P. Laub*  
Soyeon (Karen) P. Laub  
Registration No. 39,266  
Attorney for Applicants

Date: February 18, 2003

Biltmore Tower  
500 South Grand Avenue, Suite 1900  
Telephone: 213 337-6700  
Facsimile: 213 337-6701

TECHNOLOGY CENTER 2800

MAR 12 2003

RECEIVED



#5/CEK  
3/14/03  
PATENT  
Hayes

Docket No. 89252.0004  
(Former Docket No. SMI-P003)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Binneg Y. Lao, et al.

Serial No.: 9/990,144

Filed: November 21, 2001

For: CONNECTION PACKAGE FOR HIGH-  
SPEED INTEGRATED CIRCUIT

Art Unit: 2817

Examiner: Stephen E. Jones

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:	
Commissioner for Patents Washington D.C. 20231, on February 18, 2003 Date of Deposit	
Name Kimberly Yee	
Signature <i>Kimberly Yee</i>	Date 2/18/03

Dear Sir:

Applicants respectfully acknowledge receipt of the Filing Receipt dated December 12, 2001. However, corrections need to be made to the December 12, 2001 Filing Receipt in order to correct the Group Art Unit, the Attorney Docket Number and the Address of the Attorneys for Applicants. Applicants respectfully request that a Corrected Filing Receipt be issued reflecting these changes as follows:

GROUP ART UNIT: 2817

ATTORNEY DOCKET  
NO.: 89252.0004

ATTORNEYS FOR  
APPLICANTS: Soyeon (Karen) P. Laub  
HOGAN & HARTSON L.L.P.  
Biltmore Tower  
500 South Grand Avenue, Suite 1900  
Los Angeles, CA 90071

For convenience, a photocopy of the Filing Receipt with the corrections marked thereon is attached.

No fee is required for this request. However, if a fee should be required, please charge it to Deposit Account No. 50-1314 of the undersigned attorney's firm. A copy of this Request is enclosed.

Respectfully submitted,

HOGAN & HARTSON L.L.P.

Date: February 18, 2003

By: 

Soyeon (Karen) P. Laub

PTO Reg. No. 39,266

Attorneys for Applicants

500 South Grand Avenue Suite 1900  
Los Angeles, California 90071  
Telephone: (213) 337-6700  
Facsimile: (213) 337-6701



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/990,144	11/21/2001	2836 2817	1357	<del>SMI-P003</del> 89252.0004	11	83	13

CONFIRMATION NO. 2175

## FILING RECEIPT



\*OC000000007188300\*

Soyeon (Karen) P. Laub  
~~Sierra Monolithics, Inc.~~ Hogan & Hartson, L.L.P.  
~~Suite 102 Biltmore Tower~~  
~~103 W. Torrance Blvd.~~ 500 So. Grand Ave., Suite 1900  
~~Redondo Beach, CA 90277~~ Los Angeles, CA 90071

Date Mailed: 12/12/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Binneg Y. Lao, Rancho Palos Verdes, CA;  
William W. Chen, Westchester, CA;  
David A. Rowe, Torrance, CA;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 12/12/2001

Projected Publication Date: 05/22/2003

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Connection package for high-speed integrated circuit

Preliminary Class

361

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).